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## Policy for Oversight of Controlled Substances in Research

**Date:** April 17, 2017

**To:** CMU Faculty Researchers with Controlled Substance Registrations

**From:** Dr. David Ash, Vice President for Research and Dean of Grad. Studies

**Subject:** Policy for Oversight of Controlled Substances in Research

Beginning in November 2015 a cross-functional advisory panel was formed representing CMU Faculty and Staff, the Institutional Animal Care and Use Committee (IACUC), Office of General Counsel, Office of Research Compliance (ORC), Office of Research and Graduate Studies (ORGS), Office of Laboratory and Field Safety (OLFS), Risk Management Environmental Health and Safety (RMEHS) and Human Resources. This group was formed in response to concerns raised by the Drug Enforcement Agency during a visit to campus and the need for a consistent approach to complying with controlled substance regulations.

Subsequently, a policy for oversight, guidance documents and record keeping documents that meet all Federal and State controlled substance requirements were developed in consultation with the departments described above. The guidance documents, now posted on the [ORC web page](#), have been in use by faculty for the past year and have proven adequate to address regulatory requirements.

The policy "Oversight of Controlled Substances in Research" ([CMU 3-44](#)) has been reviewed and approved by all of the departments listed above and by the University Vice Presidents and President with an effective date of December 15, 2016.

**To comply with the policy and to ensure that faculty researchers receive all of the support they need to comply with the regulations, anyone at CMU with a researcher controlled substance registration will need to contact the [Office of Research Compliance](#) to provide some basic information (name, registration number, schedules etc.). Anyone wishing to apply for a controlled substance**

**registration may also contact the ORC for assistance with the application process and for a tutorial on record keeping and reporting requirements of the DEA and State of Michigan.**

Policy for Oversight of Controlled Substances in Research (all guidance documents and record keeping forms are available on the [Office of Research Compliance](#) web page).

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